



PTO/SB/01 (10-01)

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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

Declaration Submitted With Initial Filing Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	36287-04401
First Named Inventor	FRIEDMAN, et al.
<i>COMPLETE IF KNOWN</i>	
Application Number	10/614,137
Filing Date	July 7, 2003
Group Art Unit	TBA
Examiner Name	TBA

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD AND SYSTEM FOR TRANSFER OF EMPLOYEE STOCK OPTIONS

the specification of which **(Title of the Invention)**

is attached hereto

OR

was filed on (MM/DD/YYYY) **July 7, 2003** as United States Application Number or PCT International

Application Number **10/614,137** and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY) Country	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

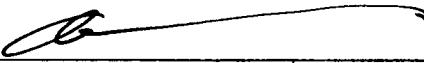
Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.

[Page 1 of 3]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input type="checkbox"/> Customer Number or Bar Code Label 27171 OR <input type="checkbox"/> Correspondence address below			
Chris L. Holm, Esq. Name			
1 Chase Manhattan Plaza Address			
New York City	NY State	10005-1413 ZIP	
USA Country	(212) 530-5000 Telephone	(212) 530-5219 Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name ALLEN R. (first and middle [if any])		Family Name FRIEDMAN or Surname	
Inventor's Signature 		Date 10/9/03	
Teaneck Residence: City	NJ State	USA Country	US Citizenship
315 Johnson Avenue Mailing Address			
Teaneck City	NJ State	07668 Zip	USA Country
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name STEPHEN E. (first and middle [if any])		Family Name GRAY or Surname	
Inventor's Signature		Date	
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20 Lawrence Rd. Mailing Address			
Madison City	NJ State	07940 Zip	USA Country

[Page 2 of 3]

NAME OF THIRD INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) Inventor's Signature		Family Name LOPATA or Surname Date 9/4/03	
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112-03 68 th Avenue Mailing Address			
Forest Hills City	NY State	11375 Zip	USA Country
NAME OF FOURTH INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
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33 Clubside Drive Mailing Address			
Woodmere City	NY State	11598 Zip	USA Country
NAME OF FIFTH INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name DAVID A. (first and middle [if any]) Inventor's Signature		Family Name SEAMAN or Surname Date	
Short Hills Residence: City	NJ State	USA Country	US Citizenship
28 Martindale Rd. Mailing Address			
Short Hills City	NJ State	07078 Zip	USA Country
<input checked="" type="checkbox"/> Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.			

[Page 3 of 3]

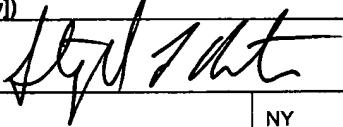


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Given Name (first and middle [if any])		ALLEN R.	Family Name or Surname	FRIEDMAN	
Inventor's Signature			Date		
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Mailing Address					
Teaneck City	NJ State	07666 Zip	USA Country		
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
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Inventor's Signature				Date	10/9/03
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Mailing Address			
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Given Name STEPHEN L. (first and middle [if any])		Family Name ROTI or Surname	
Inventor's Signature 		Date 9/4/03	
Woodmere Residence: City	NY State	USA Country	US Citizenship
33 Clubside Drive			
Mailing Address			
Woodmere City	NY State	11598 Zip	USA Country
NAME OF FIFTH INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
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Inventor's Signature		Date	
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NAME OF FOURTH INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name STEPHEN L. (first and middle [if any])		Family Name ROTI or Surname	
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Mailing Address			
Woodmere City	NY State	11598 Zip	USA Country
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Given Name DAVID A. (first and middle [if any])		Family Name SEAMAN or Surname	
Inventor's Signature <i>David A. Seaman</i>		Date 9/4/03	
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